Disparities in Postoperative Therapy for Salivary Gland Adenoid Cystic Carcinomas

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Objectives: The patterns of care for salivary gland adenoid cystic carcinomas (ACC) are unknown. We sought to assess predictors of receiving postoperative radiation and/or chemotherapy for patients with nonmetastatic, definitively resected ACC, as well as report unexpected nodal disease.

Methods: The National Cancer Data Base was queried for definitively resected nonmetastatic ACC from 2004 to 2014. Logistic regression, Kaplan-Meier, and Cox proportional-hazard models were utilized. Propensity-score matched analysis was employed to reduce confounding variables.

Results: A total of 3,136 patients met entry criteria: 2,252 (71.8%) received postoperative radiation, with 223 (7.4%) also receiving concurrent chemotherapy. Median follow-up was 4.87 years. In clinically lymph node negative (cN0) patients, 7.4% had pathologically positive lymph nodes (pN) + after elective neck dissection. Patients who lived closer to their treatment facility and had positive margins were more likely to receive postoperative radiation. Black patients and uninsured patients were less likely to receive radiation. Older age, male sex, advancing stage, and positive surgical margins were associated with worse overall survival (OS). With limited follow-up, receipt of radiation or chemotherapy was not associated with OS.

Conclusion: Postoperative radiation was frequently given for resected ACC, with a minority receiving chemotherapy. Black patients and uninsured patients were less likely to receive radiation. Postoperative radiation and/or chemotherapy had no association with OS but were given in greater frequency in more advanced disease, and our series is limited by short follow-up. The disparity findings for this rare disease need to be addressed in future studies.

Key Words: Adenoid cystic carcinoma, postoperative radiation, postoperative chemotherapy, healthcare disparities, National Cancer Data Base, salivary gland tumors.

Level of Evidence: 2c

INTRODUCTION

Adenoid cystic carcinomas (ACC) represent approximately 10% of salivary gland tumors and less than 1% of all head and neck tumors.1–3 Given its rarity, the optimal management of nonmetastatic ACC has been influenced by retrospective reviews from large centers rather than randomized trials.4–7 ACC grow relatively slowly compared to other head and neck cancers, have lower risk of lymph node metastases, and have high propensity for perineural invasion.2,7,8 ACC have a tendency for hematogenous spread at early stages, mostly to the lungs, liver, and bones.3 Chemotherapy has been investigated, but low response rates have been disappointing.9 The standard therapy for localized disease is surgical resection followed by adjuvant radiotherapy.5–7,10,11

Current National Comprehensive Cancer Network (NCCN) guidelines state that postoperative radiotherapy should be “considered” for completely resected ACC and is recommended for patients with positive margins.12 Given the lack of clarity in national guidelines for postoperative radiation, the low prevalence of the disease, and to further understand practice patterns of adjuvant therapy in the United States (U.S.), we used the National Cancer Data Base (NCDB) to identify a large cohort of patients with nonmetastatic salivary gland ACC who underwent definitive primary surgical resection. Our primary goal was to identify demographic, tumor-related, and treatment-related factors associated with the receipt of postoperative radiation or chemotherapy. Secondly, we sought to determine the rate of unexpected positive nodal disease in patients who were clinically node negative before an elective neck dissection.

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Laryngoscope 129: February 2019 Cassidy et al.: Salivary Gland Adenoid Cystic Carcinomas

Cassidy et al.: Salivary Gland Adenoid Cystic Carcinomas

377
MATERIALS AND METHODS

Patient Selection

The NCDB is a database capturing cases at Commission on Cancer-accredited facilities within the United States. The database catalogs 70% of newly diagnosed malignancies and includes detailed demographic, socioeconomic, disease, surgical, and radiation treatment details in addition to overall survival (OS) outcomes.

The salivary gland NCDB file was queried for patients diagnosed between 2004 and 2014. Our inclusion criteria included only patients with nonmetastatic ACC. We excluded patients who did not receive definitive upfront surgery, patients with incomplete treatment records, and patients who had a previously diagnosed malignancy (Fig. 1). Staging was done per the seventh edition of the American Joint Committee on Cancer guidelines.13 The following patient characteristics were examined: age, sex, race (white, black, and other), insurance status (not insured, Medicaid/Medicare, and private), comorbidities as quantified by the Charlson-Deyo Score,14,15 county of residence (urban, rural, or metro as defined by the U.S. Census Bureau), percentage of residents without a high school degree in patient’s census tract, median income of patient’s census tract (< $30,000, $30,000–35,999, $36,000–45,999, and ≥ $46,000, as determined by the American Community Survey), and the distance from patient’s census tract to treatment facility (≤ 10 miles, 10–50 miles, and > 50 miles). The following tumor characteristics were examined: primary site (parotid, palate, submandibular, sublingual, and not specified), clinical lymph node positivity (cN0, cN+, cNX, and missing), pathological tumor (T) stage, pathological node (N) stage, and pathological overall stage. The following treatment characteristics were examined: time from diagnosis to definitive resection (0–3 months, 3–6 months, > 6 months), treatment center case volume (high vs. low as defined using the 80th percentile for the number of cases treated at each facility), surgical margins (positive or negative), receipt of adjuvant radiation (yes or no), receipt of adjuvant chemotherapy (yes or no), treatment facility type (community cancer center, academic cancer center, comprehensive community cancer center), and facility location (Northeast, South, Midwest, and West). Age was evaluated as a continuous variable after it was determined that it had a linear effect on OS.

Statistical Methods

All statistics were computed using SAS software version 9.4 (SAS Institute, Inc., Cary, NC) and SAS macros.16 Univariable and multivariable logistic regression models were fit to each patient, tumor, and treatment variable to determine predictors of receiving adjuvant radiation and adjuvant chemotherapy. The collinearity of among all variables was checked by removing any variance inflation factors greater than 10. Given the high collinearity of clinical node positivity, pathological T stage, pathological N stage, and pathological overall stage; only pathological stage was incorporated in multivariable models. Otherwise, any significant variable from univariable analysis was included in the multivariable analysis. Analysis of variance testing was done to compare radiation dosing among age cohorts, race cohorts, and treatment facility cohorts. Separate univariable and multivariable analyses were performed to determine factors associated with positive margins at surgery. Overall survival was defined as months from diagnosis to death or last follow-up. Univariable and multivariable Cox proportional hazard models for OS were generated. Kaplan-Meier curves were generated for OS for the entire cohort stratified by adjuvant therapy, with comparisons using log-rank tests. Propensity score-matching was utilized to reduce treatment selection bias; a logistic regression model for predicting receipt of adjuvant radiation was carried out to estimate the propensity score of all covariates. All variables that were associated with OS were included in the propensity-matched analysis. Patients were then matched 1:1 based on propensity score using a greedy 5-1-digit match algorithm17 in which a patient receiving radiation was matched to a patient not receiving radiation over the set of variables detailed above. Once a match was made, no additional matching was considered. After matching, the balance of the two groups was evaluated by standardized differences, with values < 0.1 considered negligible.18 The OS effect in the matched sample was estimated using a Cox model with a robust variance estimator.19,20 For all analyses a P < 0.05 was considered statistically significant. For each survival model, the proportional hazard assumption was assessed.

RESULTS

Patient Characteristics

A total of 3,136 patients met entry criteria (Fig. 1), with 607 (19.7%) pathological stage I, 583 (18.9%) pathological stage II, 515 (16.7%) pathological stage III, and 1,431 (44.7%) pathological stage IV/A/IVB. The median follow-up time was 4.87 years (range 0.34–11.88 years). Table I summarizes the remaining characteristics of our population.
<table>
<thead>
<tr>
<th>Variable</th>
<th>Level</th>
<th>N (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Patient characteristics</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Age (years)</td>
<td>Median (range) 55.8 (18.1–90.0)</td>
<td></td>
</tr>
<tr>
<td>Sex</td>
<td>Male 1,286 (41.0)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Female 1,850 (59.0)</td>
<td></td>
</tr>
<tr>
<td>Race</td>
<td>White 2,507 (79.9)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Black 348 (11.1)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Other 281 (9.0)</td>
<td></td>
</tr>
<tr>
<td>Insurance status</td>
<td>Not insured 229 (7.3)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Medicaid/Medicare 1,125 (35.9)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Private 1,782 (56.8)</td>
<td></td>
</tr>
<tr>
<td>Charlson-Deyo Comorbidity Score</td>
<td>0 2,764 (88.1)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>1+ 372 (11.9)</td>
<td></td>
</tr>
<tr>
<td>County of residence</td>
<td>Metro 2,494 (82.5)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Urban 459 (15.2)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Rural 69 (2.3)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Missing 114</td>
<td></td>
</tr>
<tr>
<td>Percentage of patient’s census tract without a high school degree (quartiles)</td>
<td>&lt; 14% 1,176 (39.0)</td>
<td></td>
</tr>
<tr>
<td>Median income of patient’s census tract</td>
<td>&lt; $30,000 357 (11.8)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>$30,000–$35,999 509 (16.9)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>$36,000–$45,999 825 (27.4)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>≥ $46,000 1,324 (43.9)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Missing 121</td>
<td></td>
</tr>
<tr>
<td>Distance from patient’s census tract to treatment facility</td>
<td>≤ 10 miles 1,298 (42.0)</td>
<td></td>
</tr>
<tr>
<td>Tumor characteristics</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Primary site</td>
<td>Parotid gland 1,249 (39.8)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Palate 571 (18.2)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Submandibular gland 1,057 (33.7)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Sublingual gland 110 (3.5)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Not specified 149 (4.8)</td>
<td></td>
</tr>
<tr>
<td>Clinical nodal status</td>
<td>cN+ 194 (6.4)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>cN0 2,059 (67.4)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>cNX 801 (26.2)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Missing 82</td>
<td></td>
</tr>
<tr>
<td>Pathological T stage</td>
<td>T1 779 (25.4)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>T2 760 (24.8)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>T3 575 (18.7)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>T4a/T4b 956 (31.1)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Missing 66</td>
<td></td>
</tr>
<tr>
<td>Pathological N stage</td>
<td>No neck dissection done 809 (26.8)</td>
<td></td>
</tr>
</tbody>
</table>
Predictors of Receiving Adjuvant Radiation

A total of 2,252 (71.8%) patients received adjuvant radiation. The median total dose was 64.0 grays (Gy) (range 45.0 Gy–66.6 Gy); 1,982 (88.0%) patients were treated with intensity-modulated radiation techniques; and 64 patients (2.8%) received neutron radiotherapy. Median radiation doses did not differ among age, race, and treatment facility (all \( P > 0.52 \)). On univariable analysis, younger patients; privately insured patients; patient’s living in a zip code < 50 miles from their treatment facility; parotid gland tumors; clinically node-positive tumors; positive surgical margins; patients receiving chemotherapy; and advancing pathological T, N, and overall stage tumors were more likely to receive adjuvant radiation whereas black patients, patients living in a lower-educated census tract, and patients treated in the South were less likely to receive radiation (Table II). On multivariable analysis, patients living in a zip code < 10 miles from their treatment facility (odds ratio [OR] = 1.76, 95% confidence interval [CI]: 1.27–2.45), patients with pathological stage III (OR = 1.77, 95% CI: 1.26–2.50) or stage IVA/IVB (OR = 2.06, 95% CI: 1.48–2.88), patients with positive margins (OR = 1.71, 95% CI: 1.34–2.17), and patients receiving chemotherapy (OR = 20.31, 95% CI: 4.94–83.49) were more likely to receive radiation whereas black patients (OR = 0.66, 95% CI: 0.46–0.95), uninsured patients (OR = 0.52, 95% CI: 0.30–0.89), younger patients (OR = 0.97, 95% CI: 0.96–0.98), and palate primary tumors (OR = 0.51, 95% CI: 0.37–0.72) compared to parotid primary tumors were less likely to receive radiation (Table II).

Predictors of Receiving Adjuvant Chemotherapy

A total of 223 (7.4%) patients received adjuvant chemotherapy. On univariable analysis, male patients, younger patients; patients with clinically positive lymph nodes; patients treated in the Northeast; patients treated at a high-volume center; positive surgical margins; patients receiving adjuvant radiation; patients treated at an academic center; and advancing T, N, and overall pathological stage were associated with receipt of chemotherapy (Supporting Table SI). On multivariable analysis, patients with pathological stage III (OR = 3.19,
### TABLE II.
Univariable and Multivariable Analysis of All Patient, Tumor, and Treatment Factors and Their Association With Receiving Adjuvant Radiation After Definitive Resection

<table>
<thead>
<tr>
<th>Variable</th>
<th>Univariable Analysis</th>
<th>Multivariable Analysis*</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Level</td>
<td>Odds Ratio (95% CI)</td>
</tr>
<tr>
<td><strong>Patient characteristics</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Age (years) Median (range)</td>
<td>0.98 (0.97–0.99)</td>
<td>&lt; 0.01</td>
</tr>
<tr>
<td>Sex Male</td>
<td>1.11 (0.94–1.30)</td>
<td>0.22</td>
</tr>
<tr>
<td>Sex Female</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>Race White</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>Race Black</td>
<td>0.76 (0.59–0.96)</td>
<td>0.02</td>
</tr>
<tr>
<td>Race Other</td>
<td>1.19 (0.89–1.60)</td>
<td>0.23</td>
</tr>
<tr>
<td>Insurance status Not Insured</td>
<td>1.18 (0.86–1.61)</td>
<td>0.30</td>
</tr>
<tr>
<td>Medicaid/Medicare</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>County of residence Metro</td>
<td>1.25 (0.74–2.10)</td>
<td>0.41</td>
</tr>
<tr>
<td>County of residence Urban</td>
<td>1.24 (0.72–2.14)</td>
<td>0.44</td>
</tr>
<tr>
<td>Charlson-Deyo Comorbidity Score 0</td>
<td>1.25 (0.99–1.59)</td>
<td>0.06</td>
</tr>
<tr>
<td>Charlson-Deyo Comorbidity Score 1</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>Median income of patient’s census tract &lt; $30,000</td>
<td>0.82 (0.63–1.06)</td>
<td>0.13</td>
</tr>
<tr>
<td>Median income of patient’s census tract $30,000–$35,999</td>
<td>0.88 (0.70–1.10)</td>
<td>0.26</td>
</tr>
<tr>
<td>Median income of patient’s census tract $36,000–$45,999</td>
<td>1.02 (0.84–1.25)</td>
<td>0.84</td>
</tr>
<tr>
<td>Distance from patient’s census tract to treatment facility ≤ 10 miles</td>
<td>1.34 (1.07–1.67)</td>
<td>0.01</td>
</tr>
<tr>
<td>Distance from patient’s census tract to treatment facility 10–50 miles</td>
<td>1.26 (1.01–1.57)</td>
<td>0.04</td>
</tr>
<tr>
<td>Distance from patient’s census tract to treatment facility &gt; 50 miles</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td><strong>Tumor characteristics</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Primary site Parotid gland</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>Primary site Palate</td>
<td>0.62 (0.50–0.77)</td>
<td>&lt; 0.01</td>
</tr>
<tr>
<td>Primary site Submandibular gland</td>
<td>1.13 (0.93–1.37)</td>
<td>0.22</td>
</tr>
<tr>
<td>Primary site Sublingual gland</td>
<td>0.64 (0.42–0.97)</td>
<td>0.04</td>
</tr>
<tr>
<td>Primary site Not specified</td>
<td>0.60 (0.42–0.86)</td>
<td>&lt; 0.01</td>
</tr>
<tr>
<td>Clinically lymph node positive (prior to surgery) Yes</td>
<td>1.85 (1.26–2.73)</td>
<td>&lt; 0.01</td>
</tr>
<tr>
<td>Clinically lymph node positive (prior to surgery) No</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>Pathological T stage T1</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>Pathological T stage T2</td>
<td>1.41 (1.13–1.77)</td>
<td>&lt; 0.01</td>
</tr>
<tr>
<td>Pathological T stage T3</td>
<td>1.86 (1.44–2.40)</td>
<td>&lt; 0.01</td>
</tr>
<tr>
<td>Pathological T stage T4a/T4b</td>
<td>1.69 (1.33–2.16)</td>
<td>&lt; 0.01</td>
</tr>
<tr>
<td>Pathological N stage N0</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>Pathological N stage N+</td>
<td>1.83 (1.36–2.47)</td>
<td>&lt; 0.01</td>
</tr>
<tr>
<td>Overall pathological stage I</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>Overall pathological stage II</td>
<td>1.24 (0.96–1.59)</td>
<td>0.10</td>
</tr>
<tr>
<td>Overall pathological stage III</td>
<td>2.00 (1.51–2.64)</td>
<td>&lt; 0.01</td>
</tr>
<tr>
<td>Overall pathological stage IVA/IVB</td>
<td>1.95 (1.51–2.53)</td>
<td>&lt; 0.01</td>
</tr>
<tr>
<td>Treatment characteristics</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Time from diagnosis to definitive resection 0–3 months</td>
<td>0.55 (0.26–1.20)</td>
<td>0.14</td>
</tr>
<tr>
<td>Time from diagnosis to definitive resection 3–6 months</td>
<td>0.40 (0.12–1.31)</td>
<td>0.13</td>
</tr>
<tr>
<td>Time from diagnosis to definitive resection &gt; 6 months</td>
<td>–</td>
<td>–</td>
</tr>
</tbody>
</table>
Clinical Nodal Status Relationship to Pathological Nodal Status

A total of 194 (6.4%) patients were reported clinically node positive prior to resection. Of these, 170 patients underwent a neck dissection, with 139 (81.8%) being pathologically node-positive. No information is reported as to why 24 clinically node-positive patients did not receive a neck dissection. A total of 2,059 (67.4%) patients were reported clinically node negative prior to resection: 747 (36.3%) patients did not undergo a neck dissection, and 1,312 (63.7%) underwent a neck dissection. Of the patients who had a neck dissection, 98 (7.5%) had unexpected pathologically positive nodes, with the rest having a negative neck.

Predictors of Positive Margin at the Time of Surgery

A total of 1,365 (46.2%) patients had positive margins after surgery: 625 (50.0%) patients with parotid tumors, 433 (40.9%) patients with submandibular tumors, 246 (43.1%) patients with palate tumors, 52 (47.2%) patients with sublingual tumors, and nine patients with unknown site tumors. On univariable analysis, nonblack or Caucasian patients, having clinically positively nodes preoperatively; advancing T, N, and overall pathological stage; and resection within 3 months of diagnosis were associated with positive margins (Table III). On multivariable analysis, advancing stage was associated with positive margins, with stage II (OR = 1.76, 95% CI: 1.33–2.31), stage III (OR = 2.96, 95% CI: 2.22–3.93), and stage IVA/IVB (OR = 3.57, 95% CI: 2.72–4.70) patients more likely to have positive margins than stage I patients. Additionally, delay from diagnosis to surgery more than 3 months (OR = 1.36, 95% CI: 1.09–1.68) was associated with positive margins at surgery (Table III).

Factors Influencing Overall Survival

On unadjusted Kaplan-Meier analysis, the 5-year OS rate for patients receiving adjuvant radiation was 82.7% (95% CI: 80.7%–84.4%) compared to 78.3% (74.7%–81.4%) for those that did not receive adjuvant radiation (P = 0.08).

On univariable analysis, older age; male sex; patients with clinically positive nodes before surgery; patients with non-Medicaid/Medicare insurance; patients with advancing pathological T, N, and overall stage; patients with positive surgical margins; patients receiving adjuvant chemotherapy; patients treated at a comprehensive community cancer center (vs. academic center); and patients treated in the South were associated with worse OS whereas patients with improved comorbidity status with a Charlson-Deyo score of 0 and patients living between 10 and 50 miles from their treatment facility were associated with improved OS (Supporting Table SII). Receipt of adjuvant radiation had a nonsignificant trend for improved OS in this model (P = 0.08). On multivariable analysis, older age (hazard ratio [HR] = 1.03, 95% CI: 1.02–1.04), male sex (HR = 1.27, 95% CI: 1.03–1.56), advancing pathological stage was associated with worse OS whereas patients with improved comorbidity status with a Charlson-Deyo score of 0 and patients living between 10 and 50 miles from their treatment facility were associated with improved OS (Supporting Table SII).
### TABLE III.

Univariable and Multivariable Analysis of All Patient, Tumor, and Treatment Factors and Their Association With Positive Margin Status at the Time of Definitive Resection

<table>
<thead>
<tr>
<th>Variable</th>
<th>Level</th>
<th>Univariable Analysis</th>
<th>Multivariable Analysis*</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Odds Ratio (95% CI)</td>
<td>P Value</td>
</tr>
<tr>
<td><strong>Patient characteristics</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Age (years)</td>
<td>Median (range)</td>
<td>1.00 (1.00–1.01)</td>
<td>0.41</td>
</tr>
<tr>
<td><strong>Sex</strong></td>
<td>Male</td>
<td>1.00 (0.87–1.16)</td>
<td>0.97</td>
</tr>
<tr>
<td><strong>Race</strong></td>
<td>Black</td>
<td>0.87 (0.69–1.10)</td>
<td>0.26</td>
</tr>
<tr>
<td><strong>Insurance status</strong></td>
<td>Not Insured</td>
<td>0.73 (0.53–1.02)</td>
<td>0.07</td>
</tr>
<tr>
<td><strong>Charlson-Deyo Comorbidity score</strong></td>
<td>0</td>
<td>0.90 (0.80–1.10)</td>
<td>0.88</td>
</tr>
<tr>
<td><strong>County of residence</strong></td>
<td>Metro</td>
<td>0.99 (0.90–1.08)</td>
<td>0.72</td>
</tr>
<tr>
<td><strong>Medicare/Medicare</strong></td>
<td>1</td>
<td>1.14 (0.82–1.44)</td>
<td>0.65</td>
</tr>
<tr>
<td><strong>Median income of patient’s census tract</strong></td>
<td>&lt; $30,000</td>
<td>1.21 (0.95–1.54)</td>
<td>0.13</td>
</tr>
<tr>
<td><strong>Distance from patient’s census tract to treatment facility</strong></td>
<td>≤ 10 miles</td>
<td>1.00 (0.81–1.23)</td>
<td>0.99</td>
</tr>
<tr>
<td><strong>Tumor characteristics</strong></td>
<td>Parotid gland</td>
<td>0.74 (0.60–0.91)</td>
<td>&lt; 0.01</td>
</tr>
<tr>
<td><strong>Clinically lymph node positive</strong></td>
<td>Yes</td>
<td>2.01 (1.47–2.73)</td>
<td>&lt; 0.01</td>
</tr>
<tr>
<td><strong>Pathological T stage</strong></td>
<td>T1</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td><strong>Pathological N stage</strong></td>
<td>N0</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td><strong>Overall pathological stage</strong></td>
<td>I</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td><strong>Treatment Characteristics</strong></td>
<td>Time from diagnosis to definitive resection</td>
<td>–</td>
<td>–</td>
</tr>
</tbody>
</table>

Laryngoscope 129: February 2019
Cassidy et al.: Salivary Gland Adenoid Cystic Carcinomas

383
tumor stage, positive surgical margins (HR = 1.24, 95% CI: 1.01–1.53), and treatment facility located in the South (HR = 1.48, 95% CI: 2.02) were associated with worse OS whereas private insurance (HR = 0.67, 95% CI: 0.51–0.89) and lower comorbidities with a Charlson-Deyo score of 0 (HR = 0.72, 95% CI: 0.56–0.94) were associated with improved OS (Supporting Table SII).

Receipt of adjuvant radiation had no statistical association with OS (P = 0.57).

Because patients who received adjuvant radiation were more likely to have positive surgical margins and more advanced tumors, propensity-score matched analysis was conducted. After balancing for patient, tumor, and treatment characteristics that were associated with OS, 376 patients who received adjuvant radiation were matched to 376 who did not. Receipt of adjuvant radiation was not associated with OS on PSM (HR = 0.90, 95% CI: 0.65–1.23).

**DISCUSSION**

Our series is the largest in the literature examining patterns of postoperative therapy and survival outcomes for nonmetastatic salivary gland ACC. Among 3,136 patients, the majority (71.8%) received adjuvant radiation, with a minority (7.4%) receiving adjuvant chemotherapy. Patients who lived closer to their treatment facility, had positive surgical margins, and had more advanced tumors were more likely to receive postoperative radiation whereas younger patients, black patients, and uninsured patients were less likely to receive adjuvant radiation. We report a 7.5% neck node-positive rate in patients who were clinically node negative undergoing an elective neck dissection. Our series also confirmed known factors that influence overall survival in head and neck cancers including age, sex, comorbidity status, extent of resection, and tumor stage.7,10,13,21–23 Interestingly, in this analysis we found that patients with private insurance and patients living closer to their treatment facility had improved OS, perhaps due to improved access to care. In our series, with limited follow-up, the receipt of adjuvant radiation or chemotherapy was not associated with improved OS.

Surgery is the mainstay of therapy for resectable ACC, with a neck dissection only to be performed in patients with clinically positive nodes.3,10,24 Historically, the incidence of lymph node involvement for ACC was thought to be low,25 but more recent international collaboration efforts have shown positive neck lymph node rates of 15% to 30%, dependent on stage.7,22,26,27 Our series, which represents the largest collection of patients with definitively treated ACC, found that 2,209 patients (70.4%) underwent neck dissection. We found that 194 patients (6.4%) had clinically positive nodes prior to resection, and 139 (81.8%) of those undergoing a neck dissection had confirmed pathological disease in the nodes. Our series lower rates of clinical node positivity are congruent with historical reports25 but contrary to recent international studies,26 perhaps owing to our patient population representing a much larger sample of the cancer community in the United States. Our series also reports that 7.5% of patients had unexpected pathologically positive nodes at the time of elective neck dissection. This information may help guide the use of elective neck dissections for this rare disease.

Locoregional control rates with surgery alone are reported between 30% to 70%, with a wide range related to the rarity of the disease and mostly single-institution publications.1,3–5 Given these results, postoperative radiation is frequently administered, mostly based on retrospective single institution evidence, with most series reporting a 20% to 30% locoregional control benefit at 10 years.7,13,22,28 Our series confirms that postoperative radiation is frequently given in the United States because 71.8% of patients in our series received adjuvant radiation. Despite a benefit of conventional adjuvant radiation for locoregional disease control, the impact of radiation on OS is less clear. ACC can recur many years after initial treatment; therefore, long-term survival estimates can be challenging.3,7 Many of the single-institution series that found a locoregional control

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**TABLE III.**

(Continued)

<table>
<thead>
<tr>
<th>Variable</th>
<th>Level</th>
<th>Univariable Analysis</th>
<th>Multivariable Analysis*</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Odds Ratio (95% CI)</td>
<td>P Value</td>
</tr>
<tr>
<td>Treatment center case Volume</td>
<td>High</td>
<td>0.94 (0.81–1.09)</td>
<td>0.42</td>
</tr>
<tr>
<td></td>
<td>Low</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>Treatment facility type</td>
<td>Community cancer center</td>
<td>0.97 (0.79–1.20)</td>
<td>0.80</td>
</tr>
<tr>
<td></td>
<td>Academic cancer center</td>
<td>1.17 (0.98–1.40)</td>
<td>0.08</td>
</tr>
<tr>
<td></td>
<td>Comprehensive community cancer center</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>Treatment facility location</td>
<td>Northeast</td>
<td>0.88 (0.68–1.13)</td>
<td>0.33</td>
</tr>
<tr>
<td></td>
<td>South</td>
<td>0.77 (0.61–0.96)</td>
<td>0.02</td>
</tr>
<tr>
<td></td>
<td>Midwest</td>
<td>0.71 (0.56–0.90)</td>
<td>&lt;0.01</td>
</tr>
<tr>
<td></td>
<td>West</td>
<td>–</td>
<td>–</td>
</tr>
</tbody>
</table>

**Bold** indicates statistical significance.

*Of note, clinical node positivity and pathological T and N stage were not included in the multivariable model due to their high collinearity with pathological overall stage.

CI = confidence interval; N = node; T = tumor.
benefit to radiation subsequently found no differences in survival. There was no OS benefit to adjuvant radiation in our series, even when balancing for patient, tumor, and treatment factors. However, the NCDB is limited in endpoint reporting and the median follow-up in our series was 4.87 years. This may not be long enough to witness a benefit to postoperative therapy for ACC, which have a long natural history. We cannot comment on a local or regional disease control benefit to postoperative radiation.

The role of chemotherapy in resected ACC is unclear, with most literature estimates indicating response rates between 0 to 29% and with one recent review finding that in eight separate studies involving a total of 151 patients, there were no complete responses and there was one partial response to chemotherapy. The low response rates to cytotoxic therapy have been attributed to the slow growth kinetics of ACC. Given the lack of published prospective trials with systemic therapy, chemotherapy generally is reserved for palliation of symptomatic metastases or rapidly progressing disease if not a candidate for other therapies. Our series, representing approximately 70% of all nationwide malignancy diagnoses, found that 7.4% of patients received postoperative chemotherapy, with more advanced disease and positive margins associated with its delivery. There was no OS benefit to adjuvant chemotherapy, and in fact its receipt was associated with an OS detriment in our series (HR = 1.48, 95% CI: 1.05–2.09), likely related to unfavorable patient selection. Taken together, there does not currently appear to be a role of adjuvant chemotherapy in resected ACC. The enrolling prospective randomized trial RTOG 1008, comparing adjuvant concurrent chemoradiation versus adjuvant radiation alone in resected high-risk salivary gland tumors (including ACC), will hopefully answer this question in the future.

Our series confirmed known prognostic factors with ACC. Male sex (HR = 1.27, 95% CI: 1.03–1.56) was associated with worse OS, in line with Surveillance, Epidemiology, and End Results reports for ACC. Patients with less medical comorbidities, delineated with a Charlson-Deyo score of 0 (HR = 0.72, 95% CI: 0.56–0.94) had improved OS, further validating these indices. Positive surgical margins (HR = 1.24; 95% CI: 1.01–1.53) was associated with worse OS, congruent with previously published ACC reports as well as in other head and neck subsites, and this series provides the largest assessment of surgical margins by ACC subsite. More advanced pathological stage was also associated with worse OS in our series, confirming the accuracy of the modern staging system for this rare disease. On multivariable analysis, advancing stage was found to be associated with positive resection margins. Our series also found socioeconomic and demographic factors related to OS. Patients with private insurance had improved OS. This benefit is possibly related to access to healthcare, known to be important in clinical outcomes for many cancer subsites.

This study has several strengths and limitations. The strengths include the largest number of resected nonmetastatic head and neck ACC of any study to date, all treated in the modern era. Our series provides the most comprehensive examination of postoperative practice patterns in the United States, with almost two-thirds of patients receiving adjuvant radiation and a small number receiving chemotherapy. We also report unexpected lymph node positivity in 7.5% patients with clinically negative necks, which may help guide surgical management. Half of the patients in our series had their therapy at an academic center. However, like other studies using registries, the NCDB does not capture all variables. We do not have information on disease-specific control, including locoregional outcomes. We did not find an OS benefit to adjuvant radiation, but there may be a disease-control benefit that our data did not allow us to investigate. Additionally, as ACC can recur many years after initial resection, our median follow-up time of 4.87 years is likely not long enough to assess survival outcomes. Perineural invasion and solid tumor histology, both known to be associated with worse outcomes in ACC, are not captured in the NCDB and there may be potential imbalance of these factors in our series. The NCDB does not include patients treated at nonCommission on Cancer-accredited sites, which may have different practice patterns. This series cannot comment on the impact of neutron therapy. The NCDB records detailed surgical and radiation information, but the information on chemotherapy types, number of cycles, and compliance is not available. Treatment toxicity information is not available; therefore, short- or long-term morbidity from therapy cannot be assessed.

CONCLUSION

In this analysis, 71.8% of resected nonmetastatic salivary gland ACC receive adjuvant radiation, with 7.4% of cases receiving adjuvant chemotherapy. Patients receiving adjuvant radiation or adjuvant chemoradiation were more likely to have more advanced disease and positive surgical margins. Black patients and patients living far away from their treatment facility were less likely to receive adjuvant radiation. Receipt of adjuvant radiation, with or without chemotherapy, had no statistical association with OS, which is limited by a median follow-up of 4.87 years. This series cannot comment on locoregional control outcomes. The rate of unexpected nodal disease after elective neck dissection was 7.5%. Among other variables, several socioeconomic factors influenced survival because patients with private insurance and patients who lived closer to their treatment facility had improved OS whereas male patients and patients treated in the southern United States had worse OS. This information further needs to be investigated and addressed by the oncologic community for this rare disease.

Acknowledgment

The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health. The data used in the study are derived from a de-identified NCDB file. The American
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